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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|--------------------------------------|-----------------|----------------------|---------------------------|------------------|--|
| 10/728,743 | 12/04/2003 | Andrew J. Szabo | SZABO 205.2 | 2233 | |
| 10037 | 7590 04/06/2006 | | EXAM | EXAMINER | |
| MILDE & HOFFBERG, LLP 10 BANK STREET | | | SAX, STEV | SAX, STEVEN PAUL | |
| SUITE 460 | ACD! | | ART UNIT | PAPER NUMBER | |
| WHITE PLAINS, NY 10606 | | | 2174 | 2174 | |
| | | | DATE MAILED: 04/06/2006 · | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Application No. | Applicant(s) | | | | |
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| | | 10/728,743 | SZABO, ANDREW J. | | | | |
| ٠ | Office Action Summary | Examiner | Art Unit | | | | |
| | | Steven P. Sax | 2174 | | | | |
| Period fo | The MAILING DATE of this communication apported to the communic | pears on the cover sheet with the c | orrespondence add | dress | | | |
| WHI(- Exte after - If NO - Failt Any | ORTENED STATUTORY PERIOD FOR REPL CHEVER IS LONGER, FROM THE MAILING D insions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. O period for reply is specified above, the maximum statutory period are to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailin ed patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from a, cause the application to become ABANDONE | N. nely filed the mailing date of this co D (35 U.S.C. § 133). | | | | |
| Status | | | | - | | | |
| 1)⊠ | Responsive to communication(s) filed on 27 A | Jugust 2005 | | | | | |
| 2a)□ | <u> </u> | s action is non-final. | | | | | |
| 3) | ·— | | secution as to the | morite is | | | |
| ٥,۵ | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| | | Expans quayio, 1000 C.B. 11, 40 | 00.0.210. | | | | |
| Disposit | ion of Claims | | | | | | |
| 4)⊠ | Claim(s) 1-33,40 and 41 is/are pending in the | application. | | | | | |
| | 4a) Of the above claim(s) is/are withdra | wn from consideration. | | • | | | |
| 5)🖂 | Claim(s) <u>1-24</u> is/are allowed. | | | • | | | |
| 6)⊠ | Claim(s) 25-33 and 40 is/are rejected. | | | | | | |
| 7)⊠ | Claim(s) 41 is/are objected to. | | | | | | |
| 8)[| Claim(s) are subject to restriction and/o | or election requirement. | | | | | |
| Applicat | ion Papers | • | | | | | |
| 9) | The specification is objected to by the Examine | er. | | | | | |
| | The drawing(s) filed on is/are: a) acc | | Examiner. | | | | |
| | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | |
| | Replacement drawing sheet(s) including the correct | | | R 1.121(d). | | | |
| 11) | The oath or declaration is objected to by the Ex | | | | | | |
| Priority ι | under 35 U.S.C. § 119 | | | | | | |
| 12) | Acknowledgment is made of a claim for foreign | priority under 35 U.S.C. & 119(a) | -(d) or (f) | | | | |
| | ☐ All b)☐ Some * c)☐ None of: | • | (4) 0. (.). | | | | |
| | 1. Certified copies of the priority document | s have been received. | | | | | |
| | 2. Certified copies of the priority document | • | on No. | | | | |
| | 3. Copies of the certified copies of the prio | | | Stage | | | |
| | application from the International Bureau | | | 90 | | | |
| * 5 | See the attached detailed Office action for a list | | d. | | | | |
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| Attachmen | t(s) e of References Cited (PTO-892) | A) [] | (DTO 440) | | | | |
| | e of Praftsperson's Patent Drawing Review (PTO-948) | 4) Interview Summary Paper No(s)/Mail Da | (F10-413) te | | | | |
| 3) 🔲 Inforr | nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) 🔲 Notice of Informal Pa | | -152) | | | |
| Pape | r No(s)/Mail Date | 6) | | | | | |

DETAILED ACTION

1. This application has been examined. The amendment filed 8/17/05 has been received. Accordingly, claims 34-39 have been cancelled.

The following is a quotation of the appropriate paragraphs of 35
 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 25-27 and 29-33 are rejected under 35 U.S.C. 102(e) as being anticipated by Kolton et al (5590325).
- 4. Regarding claim 25, Kolton et al show a method for using a graphic user interface that: receives a quantitative graphic representation of an output arrangement criterion from a user through the interface (Figures 4A-C, 5A-C, 7A-C, column 3 lines 50-67, column 4 lines 10-33, column 6 lines 1-20), transmitting electronic data representing the representation to an automated query response system (column 4 lines 36-65, column 5 lines 10-20), and receiving a response from the automated query response system comprising a plurality of items

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arranged relative to each other in dependence on the output arrangement criterion (column 5 lines 10-39, column 11 lines 30-65, column 12 lines 42-64).

- 5. Regarding claim 26, the quantitative graphic representation has a scale wherein the user selects a desired value based on manipulating the scale (column 6 lines 9-30).
- 6. Regarding claim 27, the query response system is a search engine (column 5 lines 10-20).
- 7. Regarding claim 29, the output criterion includes sort criterion (column 8 lines 25-45).
- 8. Regarding claim 30, the output criterion includes ranking criterion (column 8 lines 25-45, column 9 lines 35-65).
- 9. Regarding claim 31, the representation includes both an output arrangement criterion (see para 4 of this Office Action) and a quantitative modifier for a semantic query (column 10 lines 25-65).
- 10. Regarding claim 32, the query response system operates on data records linked with one another and a ranking of the records depends on the links to or from other records (column 5 lines 10-30, column 9 lines 30-60).

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11. Regarding claim 33, note the medium (column 3 lines 40-60).

12. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

- 13. Claim 33 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. It is directed to a medium which according to the specification may be just a signal transmitted through a processor. Such a medium is not statutory subject matter.
- 14. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 15. Claim 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kolton et al (5590325).
- 16. Regarding claim 28, in addition to that mentioned for claim 25, Kolton et al do not specifically go into the details that the data is transmitted over the Internet, but do mention transmitting data over a network to access databases. Examiner

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takes Official Notice that the Internet was already a common network to use at the time of the applicant's invention to access databases. It would have been obvious to a person with ordinary skill in the art to use the Internet in the invention of Kolton et al, because it would allow convenient access to databases over a network.

- 17. Claims 40 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kolton et al (5590325) and Tanimizu et al (6005977).
- 18. Regarding claim 40, in addition to that mentioned for claim 26, note also Kolton et al show defining database query definition and output representation operation parameters of a database (column 5 lines 10-30, column 8 lines 15-55). Kolton et al do not go into the details that the representation has the graded portion and that the grade manipulation is the operation parameter, but do mention manipulating the parameter via a convenient graphical interface property. Furthermore, Tanimizu et al do show the graded portion and that the grade manipulation is the operation parameter, as a convenient graphical interface property with which to compare data (Figures 1, 6, column 3 lines 35-58, column 4 lines 35-55, column 7 lines 45-60). It would have been obvious to a person with ordinary skill in the art to have this in Kolton et al, because it would be a convenient graphical interface property with which to compare and manipulate data parameters.

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- 19. Claims 40 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kolton et al (5590325) and Ono et al (5668966).
- 20. Regarding claim 40, in addition to that mentioned for claim 26, note also Kolton et al show defining database query definition and output representation operation parameters of a database (column 5 lines 10-30, column 8 lines 15-55). Kolton et al do not go into the details that the representation has the graded portion and that the grade manipulation is the operation parameter, but do mention manipulating the parameter via a convenient graphical interface property. Furthermore, Ono et al do show the graded portion and that the grade manipulation is the operation parameter, as a convenient graphical interface property with which to compare and manipulate data (Figures 1, 7, 9, 11, column 10 lines 50-65, column 12 lines 45-65, column 14 lines 20-39). It would have been obvious to a person with ordinary skill in the art to have this in Kolton et al, because it would be a convenient graphical interface property with which to compare and manipulate data parameters.
- 21. Claim 41 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. This claim brings out receiving an output database set from the database in accordance with the database operation parameter, in which the relative arrangement of members of

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the output database set is responsive to manipulation of the graded representation portion by the user. These features in combination with the other elements of the claims combined are not set forth in the prior art of record.

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- 22. Claims 1-24 are allowable over the prior art of record. These claims bring out receiving an output database set from the database in accordance with the database operation parameter, in which the relative arrangement of members of the output database set is responsive to manipulation of the graded representation portion by the user. These features in combination with the other elements of the claims combined are not set forth in the prior art of record.
- 23. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steven P. Sax whose telephone number is (571) 272-4072. The examiner can normally be reached on Monday thru Friday, 8:30 AM 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kristine Kincaid can be reached on (571) 272-4063. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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